

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 117-517
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/500957 <small>To be assigned</small>
INTERNATIONAL APPLICATION NO. PCT/GB04/01333	INTERNATIONAL FILING DATE 29 March 2004	PRIORITY DATE CLAIMED 25 April 2003

TITLE OF INVENTION
A METHOD OF, AND SYSTEM FOR, HEURISTICALLY DETERMINING THAT AN UNKNOWN FILE IS HARMLESS BY USING TRAFFIC HEURISTICS

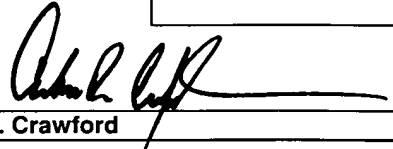
APPLICANT(S) FOR DO/EO/US

SHIPP, A.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto (8 pages specification, claims & abstract (14 claims), 1 sheets drawings).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation
 - a. ☐ of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page Certificate of Translation).
 - b. ☐ of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.
 - a. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
10. ☒ See item 6.b. above.
Items 11 To 20 below concern document(s) or information included:
 11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
 13. ☒ A FIRST preliminary amendment.
 14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
 20. ☒ Other items or information. Form PCT/RO/101, Form PCT/BI/301, Form PCT/RO/105, GB Search Report and 1 Non-US Patent Cited Reference

DT18 Rec'd PCT/PTO 08 JUL 2004

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) To be assigned 117-517-957		INTERNATIONAL APPLICATION NO. PCT/GB04/01333		ATTORNEY'S DOCKET NUMBER 117-517	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1080.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$770.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$730.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				<div style="text-align: right;">\$ 920.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		12	minus 20 =	0	X \$18.00
Independent Claims		2	minus 3 =	0	X \$86.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$290.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months)					\$ 0.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1050.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				0.00	
SUBTOTAL =				\$ 1050.00	
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				0.00	
TOTAL NATIONAL FEE =				\$ 1050.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				0.00	
Fee for Petition to Revive Unintentionally Abandoned Application (\$1330.00 - Small Entity = \$665.00)				0.00	
TOTAL FEES ENCLOSED =				\$ 1050.00	
				Amount to be: refunded \$	
				Charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$1050.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/GB04/01333 and any U.S. and foreign application(s) corresponding thereto, and GB 0309463.8, referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. CORRESPONDENCE ADDRESS Direct all correspondence to: <div style="display: flex; align-items: center; margin-top: 10px;"> <input checked="" type="checkbox"/> Customer Number: <div style="border: 1px solid black; padding: 5px; margin: 0 10px; text-align: center; width: 100px;">23117</div> <div style="margin-left: 10px;"> Place Customer Number Bar Label Here → <div style="border: 1px solid black; width: 150px; height: 50px; margin-top: 10px;"></div> </div> </div> <div style="text-align: center; margin-top: 5px;">Type Customer Number here</div>					
Telephone: (703) 816-4000 ARC:ecb		<div style="text-align: center;">  Arthur R. Crawford NAME </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>25,327 REGISTRATION NUMBER</div> <div>July 8, 2004 Date</div> </div>			